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No.: RZUN2022-3987

# 检测报告 TEST REPORT

**UN38.3** 

NAME OF SAMPLE:	Lithium ion battery
产品名称:	锂离子电池
CLIENT:	Shanghai cairi Energy Technology Co., Ltd
委托单位:	上海采日能源科技有限公司
CLASSIFICATION OF TEST:	Commission Test
检测类别:	委托测试

威凯检测技术有限公司 CVC Testing Technology Co., Ltd.

### 检测报告

### **TEST REPORT**

No.:RZUN2022-3987 Page 2 of 13 Pages

Name of samples: Lithium ion battery 样品名称:锂离子电池 Colour: White and Yellow 样品颜色:白色和黄色	Type/Model: 型号规格: SMT-HESS-HV5120 51,2Vdc 100Ah 5120Wh Physical shape: Prismatic 样品形状: 棱柱形						
Commissioned by: Shanghai cairi Energy Technology Co., Ltd 委托单位: 上海采日能源科技有限公司	Commissioner address:No. 1388, Xindian Road, Jiading District, Shangha 委托单位地址:上海市嘉定区新甸路 1388 号						
Manufacturer: Shanghai cairi Energy Technology Co., Ltd 制造商: 上海采日能源科技有限公司	Manufacturer address:No. 1388, Xindian Road, Jiading District, Shangha 制造商地址: 上海市嘉定区新甸路 1388 号						
Factory: Same as manufacturer 生产厂: 同制造商	Factory address: Same as manufacturer 生产厂地址: 同制造商						
Classification of test: Commission Test 检测类别: 委托测试	Quantity of sample: 4 battery packs, 30 cells 样品数量: 4 个电池组, 30 个电芯						
Tested according to: 测试标准: ST/SG/AC.10/11/Rev.7/Amend.1/Section 38.3	Sample identification: 样品标识序号:b1#~b4#, c1#~c30#						
Receiving date: 接样日期: 2022-09-14	Means of receiving: Submitted by commissioner 接样方式: 委托单位送样						
Completing date: 完成日期: 2022-10-14	Test item: 7 items 测试项目: 7 项						

### Test conclusion:

检测结论:

The Lithium ion battery submitted by Shanghai cairi Energy Technology Co., Ltd are tested according to Section 38.3 of the Seventh revised edition Amendment 1 of the Manual of Tests and Criteria (ST/SG/AC.10/11/Rev.7/Amend.1/Section 38.3). The test items are full items. The test results comply with the relevant requirements of the standard.

由上海采日能源科技有限公司送检的锂离子电池,依据联合国《试验和标准手册》第七修订版修正 **1** 第 **38.3** 节进行检测,试验为全项目,试验结果符合标准相关要求。

Seal of CVC CVC 盖章 Date of issue:

签发日期: 2022-10-17

Title:Manager批准人职务:经理

Approved by: Huang Kun Reviewed by: Zhang Siyao Tested by: Liu Zhen

批准: Hungton 审核: Zhang siyon 检测: linhm

Description and illustration of the sample:

样品说明及描述:

The sample's status is good

样品状况良好。

The battery (SMT-HESS-HV5120) is composed of cells (CB65-100Ah), and the connection mode is: 1P16S

电池组(SMT-HESS-HV5120)由电芯(CB65-100Ah)组成,连接方式为: 1P16S

Cell Dimensions/电芯尺寸: 52,23mm\*148,4mm\*119mm

Watt-hour rating of each battery/ 单个电池组的瓦时率: 5120Wh

Test item	Sample No.	State	Remark
试验项目	样品编号	状态	备注
	h1# h0#	at first cycle, in fully charged states	
T.1~T.5	b1#~b2#	第一个交替充电放电周期完全充电状态	-
1.1~1.5	b3#~b4#	after 25 cycles ending in fully charged states	
	D3#~D4#	第25个交替充电放电周期完全充电状态	
	c1#~c5#	at first cycle at 50% of the design rated capacity	
	C1#~C5#	第一个交替充电放电周期充电到设计额定容量的 50%	
T.6	c6#~c10#	after 25 cycles ending at 50% of the design rated	-
		capacity	
		第25个交替充电放电周期充电到设计额定容量的50%	
	011# 020#	at first cycle, in fully discharged states	
T.8	c11#~c20#	第一个交替充电放电周期完全放电状态	-
	c21#~c30#	after 25 cycles ending in fully discharged states	
	GZ 1#~C30#	第25个交替充电放电周期完全放电状态	-

The test objects of T.1~T.5 are battery packs, and the sample numbers are b1#~b4# T.1~T.5 的测试对象为电池组,样品编号为 b1#~b4#。

The test objects of T.6 and T.8 are component cells, and the sample numbers are c1#~c30# T.6、T.8 的测试对象为组成电芯,样品编号为 c1#~c30#。

Description of the sampling procedure:

取样程序的说明:

Description of the deviation from the standard, if any:

试验结果不符合标准项的说明:

### Remarks:

备注:

Throughout this report a comma is used as the decimal separator.

本报告中以逗号代替小数点。

The Batteries have not equipped with overcharge protection. According to commissioner, the batteries are designed for use only in equipments, Which affords such protection, According to the UN38.3 standard, the sample is not subject to the requirements of overcharge.

该电池未安装过度充电保护装置,根据委托方声明,该样品仅设计用于设备中,设备上带有过度充电保护装置,根据 UN38.3 要求,无需做过度充电试验。

The test report No.RZUN2022-3987 was issued dated on 10 14,2022.

The first amendment was made on 10 17,2022, changing the cell model on page 3 and page 5.

The modified pages were marked with C1.

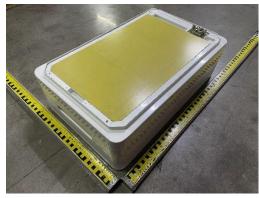
编号为 RZUN2022-3987 的报告首次发布日期为 2022 年 10 月 14 日。

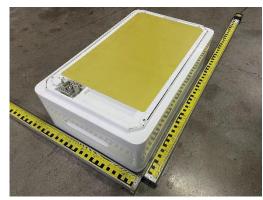
2022年10月17日进行第1次修改,将第3页、第5页的电芯型号进行修改。

在修改涉及页的底部添加 C1 标记。

### Photos of Samples and Labels/样品照片及标识

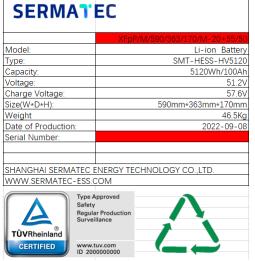
Battery/电池 (SMT-HESS-HV5120 51,2Vdc 100Ah 5120Wh)







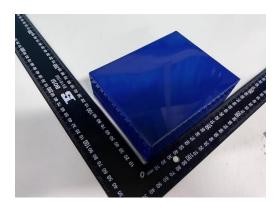


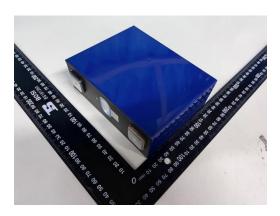


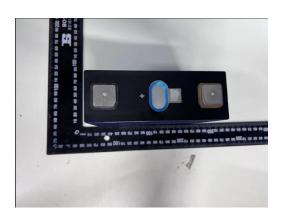


### Photos of Samples and Labels/样品照片及标识

Component Cell/内部电芯(CB65-100Ah 3,2Vdc 100Ah 320Wh )







38.3.4	Procedure/试验步骤		_					
	Test T.1: Altitude simulation/试验 T.1: 高度模拟 Test cells and batteries shall be stored at a pressure of 11,6kPa or less for at least							
	six hour at ambient temperature (20±5℃)/ 将电芯和电池在温度为 20±5℃,大气压力为不大于 11,6kpa 的环境中贮存不少于 6 个小时							
38.3.4.1	Requirement/标准要求:  1 Cells and batteries Mass loss limit: ≤0,1% /样品质量损失≤0,1%  2 Open circuit voltage not less than 90%, The requirement relating to voltage is not applicable to test cells and batteries at full discharged states. 样品试验后开路电压应不低于试验前开路电压的90%,此要求不适用于完全放完电的电池和电芯。  3 No leakage, no venting, no disassembly, no rupture and no fire 样品(电池)应无漏液、无排气、无解体、无破裂以及无着火现象的发生	The samples b1#~b4#: No leakage, no venting, no disassembly, no rupture and no fire/编号为 b1#~b4#的样品: 无漏液、无排气、无解体、无破裂以及无着火现象The data is shown in Table 1./数据见表 1	P					
20 2 4 2	Test T.2: Thermal test/试验 T. 2: 温度试验  Test cells and batteries are to be stored for/电池存储条件如下:  1 For small cells and batteries: one temperature cycle: 72±2℃(6h) —40±2℃(6h) /对于小型电芯和电池: 一次温度循环为 72±2℃(6h) —40±2℃(6h)  For large cells and batteries: one temperature cycle: 72±2℃(12h) —40±2℃(12h) /对于大型电芯和电池: 一次温度循环为 72±2℃(12h) —40±2℃(12h)  2 The maximum time interval between test temperature extremes is 30 minutes/温度转换最大间隔时间为 30min  3 This procedure is to be repeated 10 times/重复 10 次循环  4 after which all test cells and batteries are to be stored for 24 hours at ambient							
38.3.4.2	temperature (20±5℃)/循环结束后,电池在 20±5℃的条件下 搁置 24 小时 Requirements/标准要求 1 Cells and batteries Mass loss limit: ≤0,1% /样品 质量损失≤0,1% 2 Open circuit voltage not less than 90%, The requirement relating to voltage is not applicable to test cells and batteries at full discharged states. 样品试验后开路电压应不低于试验前开路电压的90%,此要求不适用于完全放完电的电池和电芯。 3 No leakage, no venting, no disassembly, no rupture and no fire 样品(电池)应无漏液、无排气、无解体、无破裂以及无着火现象 The data is shown in Table 1./数据见表 1							

38.3.4.3

### Test T.3: Vibration/试验 T. 3: 振动

- 1 Cells and batteries are firmly secured to the platform of the vibration machine /电 芯和电池牢固地安装在振动台(的台面)上
- 2 The vibration: a sinusoidal waveform with a logarithmic sweep between 7Hz and 200Hz and back to 7Hz traversed in 15 minutes/振动以正弦波形式,以 7Hz 增加至 200Hz,然后在减少回到 7Hz 为一个循环,一个循环持续 15 分钟的对数前移传送。
- 3 For cells and small batteries: from 7 Hz a peak acceleration of 1gn is maintained until 18Hz is reached. The amplitude is then maintained at 0,8mm (1,6mm total excursion) and the frequency increased until a peak acceleration of  $8g_n$  occurs (approximately 50Hz). A peak acceleration of  $8g_n$  is then maintained until the frequency is increased to 200Hz. / 对于电芯和小型电池: 从 7Hz 开始,以 1g<sub>n</sub> 的峰值加速度保持不变,直到达到 18Hz。然后将振幅保持在 0,8mm(总偏移 1,6mm)并且频率增加直到出现  $8g_n$ 的峰值加速度(大约 50Hz)。然后保持  $8g_n$ 的峰值加速度,直到频率增加到 200Hz。

For large batteries: from 7Hz a peak acceleration of  $1g_n$  is maintained until 18Hz is reached. The amplitude is then maintained at 0.8mm (1.6mm total excursion) and the frequency increased until a peak acceleration of  $2g_n$  occurs (approximately 25Hz). A peak acceleration of  $2g_n$  is then maintained until the frequency is increased to 200Hz. / 对于大型电池: 从 7Hz 开始,以  $1g_n$  的峰值加速度保持不变,直到达到 18Hz。然后将振幅保持在 0.8mm(总偏移 1.6mm)并且频率增加直到出现  $2g_n$ 的峰值加速度(大约 25Hz)。然后保持  $2g_n$ 的峰值加速度,直到频率增加到 200Hz。

4 This cycle repeated 12 times for a total of 3 hours for each of three mutually perpendicular mounting position of the cell. One of the directions of vibration must be perpendicular to the terminal face. /以振动的其中一个方向必须是垂直样品极性,对每个电芯从三个互相垂直的方向上循环 12 次,每个方向 3 个小时,共 9 小时。

#### Requirements/标准要求

- 1 Cells and batteries Mass loss limit: ≤0,1% /样品质量损失≤0,1%
- 2 Open circuit voltage not less than 90%, The requirement relating to voltage is not applicable to test cells and batteries at full discharged states.

样品试验后开路电压应不低于试验前开路电压的90%,此要求不适用于完全放完电的电池和电芯。

3 No leakage, no venting, no disassembly, no rupture and no fire

样品(电池)应无漏液、无排气、无解体、无破裂以及无着火现象的发生

The samples b1#~b4#:

No leakage, no venting, no disassembly, no rupture and no fire/编号为 b1#~b4#的样品:无漏液、无排气、无解体、无破裂以及无着火现象

The data is shown in Table 1./数据见表 1

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38.3.4.4

### Test T.4: Shock/试验 T. 4: 冲击

- 1 Test cells and batteries shall be secured to the testing machine/以稳固的托架固定住每个电芯和电池样品的全部配件表面。
- 2 Each cell shall be subjected to a half-sine shock of peak acceleration of 150  $g_n$  and pulse duration of 6 milliseconds. Large cells may be subjected to a half-sine shock of peak acceleration of 50  $g_n$  and pulse duration of 11 milliseconds. / 对每个电芯以峰值为 150 $g_n$ 的半正弦的加速度撞击,脉冲持续 6 毫秒,大型电芯须经受最大加速度 50 $g_n$ 和脉冲持续时间 11 毫秒的半正弦波冲击。

Small batteries shall be subjected to a half-sine shock of peak acceleration of 150 g<sub>n</sub> (or Acceleration(g<sub>n</sub>)=  $\sqrt{\frac{100850}{mass}}$ , which is smaller) and pulse duration of 6

milliseconds, large batteries shall be subjected to a half-sine of peak acceleration of 50 g<sub>n</sub> (or Acceleration(g<sub>n</sub>)=  $\sqrt{\left(\frac{30000}{mass}\right)}$ , which is smaller) and pulse duration of

11 milliseconds/对每个电池以峰值为  $150g_n$ (或与 $\sqrt{\frac{100850}{mass}}$ 中的较小值)的半正

弦的加速度撞击,脉冲持续 6 毫秒,大型电池须经受最大加速度  $50g_n$ (或与 $\sqrt{\frac{30000}{mass}}$ )中的较小值)和脉冲持续时间 11 毫秒的半正弦波冲击。

3 Each cell or battery shall be subjected to three shocks in the positive direction followed by three shocks in the negative direction of three mutually perpendicular mounting positions of the cell or battery for a total of 18 shocks/每个电池或电池组须在三个互相垂直的电池安装方位的正方向经受三次冲击,接着在反方向经受三次冲击,总共经受 18 次冲击。

Requirements/标准要求:

- 1 Cells and batteries Mass loss limit: ≤0,1% /样品质量损失≤0,1%
- 2 Open circuit voltage not less than 90%, The requirement relating to voltage is not applicable to test cells and batteries at full discharged states.

样品试验后开路电压应不低于试验前开路电压的 90%,此要求不适用于完全放完电的电池和电芯。

3 No leakage, no venting, no disassembly, no rupture and no fire

样品(电池)应无漏液、无排气、无解体、无破裂以及无着火现象的发生

The samples b1#~b4#:

Acceleration= 25,9g<sub>n</sub>

No leakage, no venting, no disassembly, no rupture and no fire/编号为 b1#~b4#的样品:

峰值加速度= 25,9g<sub>n</sub>

无漏液、无排气、无解体、无 破裂以及无着火现象

The data is shown in Table 1./数据见表 1

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#### Test T.5: External Short Circuit/试验 T.5 外部短路

1The cell or battery to be tested shall be temperature stabilized so that its external case temperature reaches  $57\pm4$   $^{\circ}$  /保持试验环境温度稳定在  $57\pm4$   $^{\circ}$  , 以使电芯或电池样品外表温度达到  $57\pm4$   $^{\circ}$ 

2 the cell or battery shall be subjected to a short circuit condition with a total external resistance of less than 0,1 ohm at  $57\pm4$ °C, This short circuit condition is continued for at least one hour after the cell or battery external case temperature has returned to  $57\pm4$ °C, or in the case of the large batteries, has decreased by half of the maximum temperature increase observed during the test and remains below that value. /将样品正负极用小于 0,1 $\Omega$  的总电阻回路进行短路,样品的外表温度恢复到  $57\pm4$ °C之后保持短路状态 1 小时以上;对于大电池,电池温度降低至最高温升值的一半时实验结束。

38.3.4.5

3 the cell or battery must be observed for a further six hour for the test to be concluded,

/对电芯或电池必须进一步观察6个小时才能下结论。

Requirements/标准要求:

During the test and within six hours after test ,the cells or batteries

在试验过程中以及之后6个小时内,电芯或电池样品

- External temperature not exceed 170℃
   外表温度不超过 170℃
- 2. No disassembly, no rupture and no fire. 无解体、无破裂和无着火现象发生。

The samples b1#~b4#:

no disassembly, no rupture and no fire/编号为 b1#~b4# 的样品: 无解体、无破裂以及无着火现象

The data is shown in Table 1./数据见表 1

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	Test T.6: Impact / Crush / 试验 T.6: 撞击/挤压		Р			
	Impact (applicable to cylindrical cells not less than 1 撞击(适用于直径不小于 18 毫米的圆柱形电池)	8mm in diameter) /				
	1 This test sample cell or component cell is to be pl 将试验样品用的电芯或聚合物电芯放在一个平坦光滑					
	2 A 15,8 mm diameter bar is to be placed across the mass is to be dropped from a height of 61±2,5cm 15,8mm 的不锈钢圆棒横过电池中部放置后,将一质的高度落向样品。	n onto the sample./将一直径为				
	tudinal axis parallel to the flat is of the 15,8 mm ± 0,1mm he test sample. Each sample is 的试样,纵轴应与平坦的表面平面的纵轴垂直。每一个试样只经	N/A				
	Requirements/标准要求: 1 Cells external temperature not exceed 170℃.电芯或电池的最高表面温度应不超过 170℃ 2 No disassembly, no fire within six hours of this test	-				
	试验结束后 6 个小时之内,电芯和聚合物电芯应无 解体和无着火现象发生					
38.3.4.6	Crush (applicable to prismatic, pouch, coin/button than 18mm in diameter) / 挤压(适用于棱柱形、袋装、硬币/纽扣电池和直径 1 A cell or component cell is to be crushed be crushing is to be gradual with a speed of approximor of contact. The crushing is to be continued until the is reached. / 将电池或元件电池放在两个平面之间挤一个接触点上的速度大约为 1,5 厘米/秒。挤压持续流一: (a) The applied force reaches 13 kN ± 0,78 kN. / 施力(b) The voltage of the cell drops by at least 100 mV, (c) The cell is deformed by 50% or more of its orig厚度的 50%以上。 2. A prismatic or pouch cell shall be crushed by applying For cylindrical cells, the crush force shall be longitudinal axis. /棱柱形或袋装电池应从最宽的一面平坦表面施压。圆柱形应从与纵轴垂直的方向施压。Requirements/标准要求: 1 Cells external temperature not exceed 170℃.电芯或电池的最高表面温度应不超过 170℃ 2 No disassembly, no fire within six hours of this test 试验结束后 6 个小时之内,电芯和聚合物电芯应无解体和无着火现象发生	小于 18 毫米的圆柱形电池) tween two flat surfaces. The ately 1,5 cm/s at the first point first of the three options below 济压,挤压力度逐渐加大,在第进行,直到出现以下三种情况之即的力达到 13 千牛±0,78 千牛/电池的电压下降至少 100 毫伏 inal thickness./电池变形达原始 oplying the force to the widest g the force on its flat surfaces. applied perpendicular to the	P			

	Test T.7: Overcharge/试验 T. 7: 过度充电							
	1 The charge current shall be twice the manufacture continuous charge current/以 2 倍制造厂推荐的最大							
	2 The minimum voltage of the test shall be as follows/本试验最小电压为:							
	a) When the manufacturer's recommended charge voltage is not more than 18V, the minimum voltage of the test shall be the lesser of two times the maximum charge voltage of the battery or 22V/ 如果厂家推荐的充电电压不超过 18V, 本试验的最小充电电压应是厂家标定最大充电电压的两倍或者是 22V 之中的较小者。							
38.3.4.7	b) When the manufacturer's recommended charge voltage is more than 18V, the minimum voltage of the test shall be 1,2 times the maximum charge voltage/ 如果厂家推荐的充电电压超过 18V, 本试验的最小充电电压应是厂家标定最大充电电压的1,2 倍。	-	N/A					
	3 Tests are to be conducted at ambient temperature 20±5℃, The duration of the test shall be 24 hours/20±5℃的环境温度下,试验持续 24 小时。							
	Requirements/标准要求:							
	No disassembly and no fire within seven days of this test	-						
	试验样品在试验中和试验后 7 天内,应无解体和无着火现象发生。							
	Test T.8: Forced discharge/试验 T. 8: 强制放电							
	Each cell shall be forced discharged at ambient temperature by connecting it in series with a 12 V D.C. power supply at an initial current equal to the maximum discharge current specified by the manufacturer,							
	20±5℃的环境温度下,将单个电芯连接在 12V 的直流电源上进行强制放电,此直流   电源提供给每个电芯初始电流为制造厂指定的最大放电电流。							
38.3.4.8	The specified discharge current is to be obtained by connecting a resistive load of the appropriate size and rating in series with the test cell. Each cell shall be forced discharged for a time interval (in hours) equal to its rated capacity divided by the initial test current (in ampere)							
	指定的放电电流通过串联在试验电芯上的合适大小和功率的负载来获得,每个电芯 的强制放电时间(小时)为额定容量除以初始电流(安培)。							
	Requirements/标准要求:	The samples c11#~c30#:						
	No disassembly and no fire within seven days of this test	no disassembly and no fire/ 编号为 c11#~c30#的样品:						
	试验样品在试验中和试验后 7 天内,应无解体和无着火现象发生。	无解体、无着火现象 The data is shown in Table 3./数据见表 3						

	Table1: T1~T5 / 表 1. 试验 1~试验 5												
Sample No.	· I to test	prior to test	prior to test	prior to test /试		: Altitude lation/ 高度模拟		hermal test/ : 温度试验		Vibration/ .3: 振动		4: Shock/ 4: 冲击	Test T.5: External Short Circuit/试验 T.5 外部短路
样品号			Mass Loss(%) 质量损失(%)	OCV Retention Ratio(%) 电压保留比(%)	Temp. (℃) 温度 (℃)								
b1#	44,61	54,637	0,000	97,73	0,000	99,90	0,000	100,00	0,000	100,00	57,0		
b2#	44,68	54,590	0,000	97,82	0,000	99,90	0,000	100,00	0,000	100,00	56,7		
b3#	44,67	54,618	0,000	97,76	0,000	99,90	0,000	100,00	0,000	100,00	56,9		
b4#	44,63	54,605	0,000	97,79	0,000	99,90	0,000	100,00	0,000	100,00	56,5		

Table2: Crush /表 2:挤压											
Test T.6:	Sample No. 样品号	c1#	c2#	c3#	c4#	c5#	c6#	c7#	c8#	c9#	c10#
Crush/试验 T.6:挤压	OCV prior to test / 试验前电压(V)	3,321	3,323	3,322	3,323	3,321	3,322	3,322	3,321	3,323	3,322
	Temp. (℃) 温度 (℃)	23,8	23,8	23,8	23,8	23,8	23,8	23,8	23,8	23,8	23,8

	Table 3: Forced discharge / 表 3. 强制放电											
Test T.8: Forced discharge / 试验 T.8: 强 制放电	Sample No. 样品号	c11#	c12#	c13#	c14#	c15#	c16#	c17#	c18#	c19#	c20#	
	OCV prior to test / 试验前电压(V)	3,137	3,125	3,122	3,127	3,120	3,118	3,125	3,121	3,128	3,124	
	Sample No. 样品号	c21#	c22#	c23#	c24#	c25#	c26#	c27#	c28#	c29#	c30#	
	OCV prior to test / 试验前电压(V)	3,129	3,133	3,127	3,134	3,130	3,139	3,131	3,139	3,142	3,135	

## 注意事项 Important

1. 报告无检测单位印章无效。

The test report is invalid without the seal of CVC.

- 2. 未经本试验室书面同意,不得部分地复制本报告。
  Nobody is allowed to photocopy or partly photocopy this test report without written permission of CVC.
- 3. 本报告无批准人、审核人及检测人签名无效。
  The test report is invalid without the signatures of Ratifier, Reviewer and Testing engineer.
- 4. 本报告涂改无效。

The test report is invalid if altered,

- 5. 对检测报告若有异议,应于收到报告之日起十五天内向检测单位提出。 Objections to the test report must be submitted to CVC within 15 days.
- 6. 本报告仅对送检样品负责。

The test report is valid for the tested samples only.

7. 判定栏中"-"表示"不需要判定","P"表示"通过","F"表示"不通过", "N/A"表示"不适用"。

As for the Verdict, "-" means "no need for judgement", "P" means "pass", "F" means "fail" and "N/A" means "not applicable".

\*\*报告中未加 CMA 标志时,检测数据和结果仅供科研、教学或内部质量控制之用。\*\*
The test data and test results given in this test report should only be used for purposes of scientific research, teaching and internal quality control when the CMA symbol is not presented.

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